

Mikado braids, Soergel bimodules, and positivity in Hecke and Temperley-Lieb algebras

II. Generalized Kazhdan-Lusztig polynomials and Dyer's positivity conjectures

Thomas Gobet

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- ▶ A *reduced expression* of $x \in W$ is a product $x = s_1 s_2 \cdots s_k$ where $s_i \in S$ and $k = \ell(x)$ is minimal.

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- ▶ A *Mikado braid* is an element $x_A \in B(W)$ associated to $x \in W$ and a biclosed set of roots A .

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- ▶ A *Mikado braid* is an element $x_A \in B(W)$ associated to $x \in W$ and a biclosed set of roots A . It is defined by lifting a reduced expression $s_1 s_2 \cdots s_k$ of $x \in W$ to $x_A = \mathbf{s}_1^{\varepsilon_1} \mathbf{s}_2^{\varepsilon_2} \cdots \mathbf{s}_k^{\varepsilon_k}$, where the exponents $\varepsilon_i \in \{\pm 1\}$ are defined using a rule involving A .

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If you are not familiar with the machinery of Coxeter / Artin groups, just keep in mind the case $W = \mathfrak{S}_n$, $B(W) = \mathcal{B}_n$ and the topological description of Mikado braids.

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- ▶ Let (W, S) be a Coxeter system. Let $\mathcal{A} := \mathbb{Z}[v, v^{-1}]$. The *Hecke algebra* $\mathcal{H}(W)$ of (W, S) is the (associative, unital) \mathcal{A} -algebra with free \mathcal{A} -basis given by a set $\{H_x \mid x \in W\}$ and multiplication defined as follows: for $x \in W, s \in S$ we set

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$$H_x H_s = \begin{cases} H_{xs} & \text{if } \ell(xs) > \ell(x) \\ (v^{-1} - v)H_x + H_{xs} & \text{if } \ell(xs) < \ell(x) \end{cases}$$

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- ▶ The Hecke algebra $\mathcal{H}(W)$ is a deformation of the group algebra of W over \mathbb{Z} : by specializing $v \mapsto 1$ we just get $\mathbb{Z}[W]$.

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- ▶ As a consequence of the definition, the H_s generate $\mathcal{H}(W)$, are invertible and satisfy the braid relations of W .

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- ▶ The Hecke algebra $\mathcal{H}(W)$ is a deformation of the group algebra of W over \mathbb{Z} : by specializing $v \mapsto 1$ we just get $\mathbb{Z}[W]$.
- ▶ As a consequence of the definition, the H_s generate $\mathcal{H}(W)$, are invertible and satisfy the braid relations of W . In particular, there is a group homomorphism $\varphi : B(W) \rightarrow \mathcal{H}(W)^\times, s \mapsto H_s, s \in S$.

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The Bruhat order on a Coxeter group

- ▶ There are several subtle ways of ordering a Coxeter group.

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- ▶ There are several subtle ways of ordering a Coxeter group. One which is central in Kazhdan-Lusztig theory is the *Bruhat order* \leq on W :

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- ▶ There are several subtle ways of ordering a Coxeter group. One which is central in Kazhdan-Lusztig theory is the *Bruhat order* \leq on W : it is defined as the transitive closure of the relation $x < xt$ for all $x \in W$, $t \in T = \bigcup_{w \in W} wSw^{-1}$ such that $\ell(x) < \ell(xt)$

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Proposition

Let W be a Coxeter group, $x, y \in W$. The following are equivalent:

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Proposition

Let W be a Coxeter group, $x, y \in W$. The following are equivalent:

1. We have $x \leq y$,

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Proposition

Let W be a Coxeter group, $x, y \in W$. The following are equivalent:

1. *We have $x \leq y$,*
2. *There is a reduced expression of y which has a reduced expression of x as a subword,*

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Proposition

Let W be a Coxeter group, $x, y \in W$. The following are equivalent:

- 1. We have $x \leq y$,*
- 2. There is a reduced expression of y which has a reduced expression of x as a subword,*
- 3. Every reduced expression of y has a reduced expression of x as a subword.*

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Example: $W = \mathfrak{S}_3$, $S = \{s_1 = (1, 2), s_2 = (2, 3)\}$,
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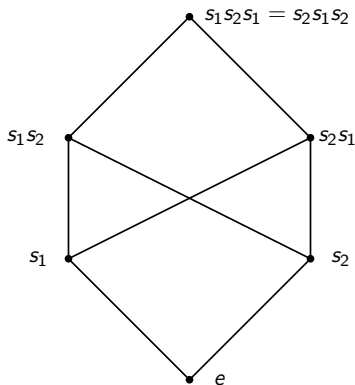
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Example: $W = \mathfrak{S}_4$. We represent a permutation $x \in \mathfrak{S}_4$ by a line $x(1)x(2)x(3)x(4)$.

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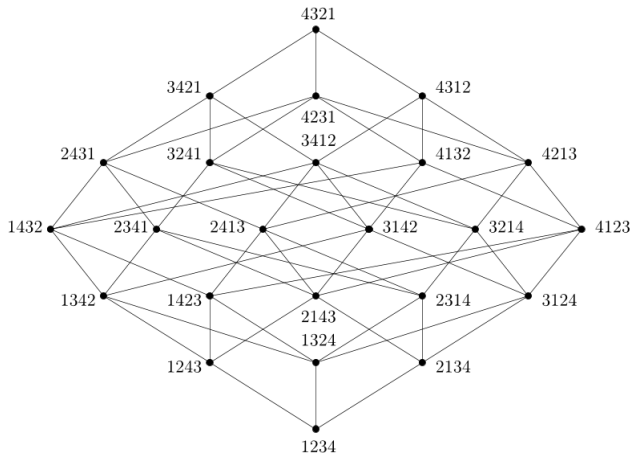
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Canonical bases

- ▶ Let $\bar{\cdot} : \mathcal{H}(W) \longrightarrow \mathcal{H}(W)$ be the involution defined by $\overline{H_x} = H_{x^{-1}}, \overline{v} = v^{-1}$.

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- ▶ In fact the two sums above can be taken over all elements lower than w for the Bruhat order.

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- ▶ In fact the two sums above can be taken over all elements lower than w for the Bruhat order.
- ▶ The two sets $\{C_w\}_{w \in W}$ and $\{C'_w\}_{w \in W}$ yield two bases of $\mathcal{H}(W)$ called *canonical bases*.

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- ▶ Example: let $s \in S$. We have the relation

$H_s^2 = (v^{-1} - v)H_s + 1$ which yields

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$$C'_s = H_s + p = H_s^{-1} - (v - v^{-1}) + p$$

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Comparing the two equations we get

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Since $p \in v\mathbb{Z}[v]$ this forces $p = v$.

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$$C'_s = H_s + v.$$

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- ▶ Let $C'_w = \sum_{y \leq w} h_{y,w} H_y$. The polynomial $h_{y,w} \in \mathbb{Z}[v]$ is a *Kazhdan-Lusztig polynomial*.

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- ▶ In their 1979 paper, Kazhdan and Lusztig conjecture that $h_{y,w}(1)$ gives a multiplicity of a simple module in a Verma module in the principal block of category \mathcal{O} :

$$[\Delta(w \cdot (-2\rho)) : L(y \cdot (-2\rho))] = h_{w_0 w, w_0 y}(1).$$

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Here W is the finite Weyl group of a complex semisimple Lie algebra \mathfrak{g} and w_0 is its (unique) longest element.

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Here W is the finite Weyl group of a complex semisimple Lie algebra \mathfrak{g} and w_0 is its (unique) longest element.

- ▶ Kazhdan and Lusztig also conjecture that $h_{y,w}$ lies in $\mathbb{Z}_{\geq 0}[v]$. This became known as *Kazhdan-Lusztig positivity conjecture*. Here there is no restriction on W .

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Theorem (G., 2016)

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- Geometric proofs for (finite) Weyl groups were given much before: by KL 1980 for KL positivity, by Dyer-Lehrer 1990 for Dyer's conjecture.

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- ▶ Recall the homomorphism $\varphi : B(W) \longrightarrow \mathcal{H}(W)^\times$.

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- ▶ Let $A \subseteq \Phi^+$ be biclosed. Let $H_{x,A} := \varphi(x_A)$.

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- ▶ Recall the homomorphism $\varphi : B(W) \longrightarrow \mathcal{H}(W)^\times$. For every $x \in W$ we have $\varphi(\mathbf{x}) = H_x$. As a consequence we have $\varphi(\mathbf{xy}^{-1}) = H_x H_y^{-1}$. **The image of a Mikado braid !**
- ▶ Let $A \subseteq \Phi^+$ be biclosed. Let $H_{x,A} := \varphi(x_A)$. The set $\{H_{x,A}\}_{x \in W}$ is an \mathcal{A} -basis of $\mathcal{H}(W)$.

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1. Let $w \in W$, $A \subseteq \Phi^+$ biclosed. We have $C'_w \in \sum_{x \in W} \mathbb{Z}_{\geq 0}[v^{\pm 1}] H_{x,A}$.

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2. Let $x \in W$, $A \subseteq \Phi^+$ biclosed. We have $H_{x,A} \in \sum_{w \in W} \mathbb{Z}_{\geq 0}[v^{\pm 1}] C_w$.

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- ▶ **Proof of KL positivity for Weyl groups (KL 1980):**

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Here $C_y = ByB/B$ is a Schubert cell in the flag variety $X = G/B$ and $X_w = \overline{C_w}$.

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Here $C_y = ByB/B$ is a Schubert cell in the flag variety $X = G/B$ and $X_w = \overline{C_w}$. Hidden behind this formula is a categorification of the Hecke algebra by perverse sheaves on X .

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- ▶ Intersection cohomology of Schubert varieties gives a framework in which to interpret the $h_{y,w}$ (not only $h_{y,w}(1)$).

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- ▶ Intersection cohomology of Schubert varieties gives a framework in which to interpret the $h_{y,w}$ (not only $h_{y,w}(1)$). But Kazhdan-Lusztig positivity is conjectured without restriction on W , while Schubert varieties only exist if W is a (finite or affine) Weyl group.

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- ▶ There is (a priori) no recourse to geometry of Schubert varieties or category \mathcal{O} for general Coxeter groups W . This raises a natural question: *Is there a framework in which to prove KL positivity in general? Is there some “representation theory” in which KL polynomials can be interpreted as (graded) multiplicities?*

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- ▶ Given a graded R -bimodule M , we denote by M_k its k -th graded component.

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- ▶ Given a graded R -bimodule M , we denote by M_k its k -th graded component. We define $M(i)$ as the bimodule M with graduation shifted by i :
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- ▶ For $s \in S$, set $B_s := R \otimes_{R^s} R(1)$, where $R^s = \{f \in R \mid s(f) = f\}$. It is an (indecomposable) graded R -bimodule.

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- ▶ Given an additive category \mathcal{C} , we define its *split Grothendieck group* $\langle \mathcal{C} \rangle$ as the abelian group generated by symbols $\langle M \rangle$ for every object $M \in \mathcal{C}$ (modulo isomorphisms) with relations $\langle M \rangle = \langle M' \rangle + \langle M'' \rangle$ whenever $M \cong M' \oplus M''$.

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- ▶ In case \mathcal{C} is a category of R -bimodules which is stable by \otimes_R , then $\langle \mathcal{C} \rangle$ is equipped with a natural ring structure

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If moreover the bimodules are graded, then $\langle \mathcal{C} \rangle$ is even an \mathcal{A} -algebra, where the operation of v is defined by

$$v \cdot \langle M \rangle := \langle M(1) \rangle.$$

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2. Let \mathcal{B} be the Karoubian envelope of the category generated by (shifts of) products of the B_s . The indecomposables in \mathcal{B} are (up to iso) given by the $B_w(i)$, $w \in W$, $i \in \mathbb{Z}$.

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3. There is an isomorphism of \mathcal{A} -algebras $\mathcal{E} : \mathcal{H} \longrightarrow \langle \mathcal{B} \rangle$, $\mathcal{E}(C'_s) = \langle B_s \rangle$, $\mathcal{E}(v) = \langle R(1) \rangle$.

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Conjecture (Soergel 2007; proven by Elias and Williamson 2014)

$\mathcal{E}(C'_w) = \langle B_w \rangle$ for all $w \in W$.

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- ▶ We have

$$\begin{aligned} B_s \otimes_R B_s &= (R \otimes_{R^s} R(1)) \otimes_R (R \otimes_{R^s} R(1)) \\ &\cong R \otimes_{R^s} R \otimes_{R^s} R(2) \\ &\cong R \otimes_{R^s} (R^s \oplus R^s(-2)) \otimes_{R^s} R(2) \\ &\cong (R \otimes_{R^s} R^s \otimes_{R^s} R) \oplus (R \otimes_{R^s} R^s \otimes_{R^s} R(2)) \\ &\cong (R \otimes_{R^s} R) \oplus (R \otimes_{R^s} R)(2) \\ &\cong B_s(1) \oplus B_s(-1). \end{aligned}$$

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- ▶ We just showed that $B_S \otimes_R B_S \cong B_S(1) \oplus B_S(-1)$.
- ▶ Recall that $C'_S = H_S + v$. A quick computation shows that $C'^2_S = (v + v^{-1})C'_S$. This relation is categorified by the above tensor product.

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- ▶ We have $R \otimes_R B_S \cong B_S \cong B_S \otimes_R R$.

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- ▶ We have $R \otimes_R B_s \cong B_s \cong B_s \otimes_R R$. Hence the indecomposable bimodules in the graded monoidal category generated by B_s are (up to shifts) B_s and R .

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- ▶ We have checked the isomorphism $\mathcal{H}(W) \cong \langle \mathcal{B} \rangle$ in that case.

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Proposition (“Standard filtrations”, Soergel, 2007)

*Let $w_0 = e, w_1, w_2, \dots$ be an enumeration of W refining \leq .
For $x \in W$,*

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Let $w_0 = e, w_1, w_2, \dots$ be an enumeration of W refining \leq . For $x \in W$, let R_x be the graded bimodule R with right operation twisted by x . Each $B \in \mathcal{B}$ has a unique filtration

$$0 = B^k \subsetneq B^{k-1} \subseteq B^{k-2} \dots \subseteq B^1 \subseteq B^0 = B$$

with $B^i / B^{i+1} \cong \bigoplus_p R_{w_i}(n_p)$.

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with $B^i / B^{i+1} \cong \bigoplus_p R_{w_i}(n_p)$. Moreover, the graded multiplicities are independent of the enumeration of W we chose.

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- ▶ To prove the categorification theorem, Soergel explicitly describes the inverse of the map $\mathcal{E} : \mathcal{H}(W) \rightarrow \langle \mathcal{B} \rangle$.

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- To prove the categorification theorem, Soergel explicitly describes the inverse of the map $\mathcal{E} : \mathcal{H}(W) \rightarrow \langle \mathcal{B} \rangle$. It is given by

$$\langle B \in \mathcal{B} \rangle \mapsto \sum_{x \in W} \sum_{i \in \mathbb{Z}} [B : R_x(i - \ell(x))] v^i H_x.$$

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- ▶ In particular, the classes of bimodules in \mathcal{B} are positive in the basis $\{H_x\}$.

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$$h_{y,w} = \sum_i [B_w : R_y(i - \ell(y))] v^i.$$

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Graded multiplicities in standard filtrations of Soergel bimodules are Kazhdan-Lusztig polynomials !

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- ▶ In particular, the classes of bimodules in \mathcal{B} are positive in the basis $\{H_x\}$. Hence Soergel's conjecture, which precisely says that C'_w corresponds to $\langle B_w \rangle$ via the isomorphism, implies KL positivity conjecture. More precisely, we have

$$h_{y,w} = \sum_i [B_w : R_y(i - \ell(y))] v^i.$$

Graded multiplicities in standard filtrations of Soergel bimodules are Kazhdan-Lusztig polynomials !

(in particular, they have nonnegative coefficients)



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$$B_s \twoheadrightarrow R(1), a \otimes b \mapsto ab.$$

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- ▶ Recall that $R \cong R^s \oplus R^s X$ as left R^s -module (we have $R \cong \mathbb{R}[X]$). Hence as a (left) R^s -module, B_s has a basis given by $\{1 \otimes 1, 1 \otimes X, X \otimes 1, X \otimes X\}$.

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$$R_s(-1) \rightarrow B_s, r \mapsto r \otimes X - rX \otimes 1$$

is an injective homomorphism of bimodules,

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is an injective homomorphism of bimodules, and that $R_s(-1)$ is precisely the kernel of the surjective map above.

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$$0 \longrightarrow R_s(-1) \longrightarrow B_s \longrightarrow R(1) \longrightarrow 0.$$

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- ▶ We already computed $C'_s = H_s + v$, so we know that $h_{e,s} = v$ and $h_{s,s} = 1$.

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Everything works !

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- ▶ We already computed $C'_s = H_s + v$, so we know that $h_{e,s} = v$ and $h_{s,s} = 1$.

Everything works ! (at least in type A_1)



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- ▶ We want to generalize KL positivity to

$$C'_w \in \sum_{x \in W} \mathbb{Z}_{\geq 0}[v^{\pm 1}] H_{x,A}, \quad \forall w, A$$

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- ▶ **Idea:** “twist” the standard filtration by A .

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- ▶ **Idea:** “twist” the standard filtration by A .

Definition (Twisted Bruhat preorder)

Let $A \subseteq T$. Define a preorder \leq_A on W as the transitive closure of $x <_A xt$ whenever $x \in W$, $t \in T$, $t \notin N(x^{-1}) + A$ (where $+$ means symmetric difference).

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Theorem (Edgar, 2007)

The preorder \leq_A is an order if and only if A is biclosed.

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The preorder \leq_A is an order if and only if A is biclosed.

- ▶ If W is finite, every biclosed set is an inversion set.

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Theorem (Edgar, 2007)

The preorder \leq_A is an order if and only if A is biclosed.

- ▶ If W is finite, every biclosed set is an inversion set. We have $x \leq_{N(w)} y$ if and only if $xw \leq yw$.

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- ▶ Example: $W = \mathfrak{S}_3$, $\leq_{N(s_1 s_2)}$.

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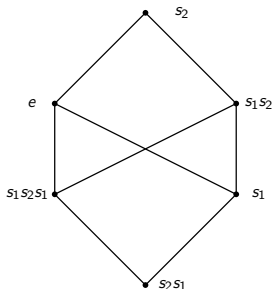
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Proposition (Twisted standard filtrations)

Let $A \subseteq \Phi^+$ biclosed. Let $W = \{w_i\}_{i \in \mathbb{Z}}$ be an enumeration of W refining \leq_A .

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Let $A \subseteq \Phi^+$ biclosed. Let $W = \{w_i\}_{i \in \mathbb{Z}}$ be an enumeration of W refining \leq_A . Each $B \in \mathcal{B}$ has a unique filtration

$$0 = B^k \subsetneq B^{k-1} \subseteq B^{k-2} \dots \subseteq B^{m+2} \subseteq B^{m+1} \subsetneq B^m = B,$$

$m \leq k$ with $B^i / B^{i+1} \cong \bigoplus_p R_{w_i}(n_p)$.

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$m \leq k$ with $B^i / B^{i+1} \cong \bigoplus_p R_{w_i}(n_p)$. Moreover, the graded multiplicities are independent of the enumeration of W we chose.

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Proposition (Twisted standard filtrations)

Let $A \subseteq \Phi^+$ biclosed. Let $W = \{w_i\}_{i \in \mathbb{Z}}$ be an enumeration of W refining \leq_A . Each $B \in \mathcal{B}$ has a unique filtration

$$0 = B^k \subsetneq B^{k-1} \subseteq B^{k-2} \dots \subseteq B^{m+2} \subseteq B^{m+1} \subsetneq B^m = B,$$

$m \leq k$ with $B^i / B^{i+1} \cong \bigoplus_p R_{w_i}(n_p)$. Moreover, the graded multiplicities are independent of the enumeration of W we chose. We write them $[B : R_x(i)]_A$.

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- Define a length function $\ell_A : W \rightarrow \mathbb{Z}$ by $\ell_A(w) = \ell(w) - 2|N(w^{-1}) \cap A|$.

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Theorem (G., 2016)

We have $h_{x,w}^A = \sum_i [B_w : R_x(i - \ell_A(x))]_A v^i$.

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- ▶ To prove this generalized version of KL positivity, we do not require to mimick Elias and Williamson's proof of Soergel's conjecture.

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- ▶ To prove this generalized version of KL positivity, we do not require to mimick Elias and Williamson's proof of Soergel's conjecture. One needs to find a replacement for Dyer and Lehrer's geometric argument, and apply Soergel's conjecture at some point.

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- ▶ To prove this generalized version of KL positivity, we do not require to mimick Elias and Williamson's proof of Soergel's conjecture. One needs to find a replacement for Dyer and Lehrer's geometric argument, and apply Soergel's conjecture at some point.
- ▶ **Open question:** is there an interpretation of $h_{x,w}^A$ in the framework of (graded versions of the principal block of) category \mathcal{O} , in case W is a finite Weyl group ? (multiplicities of twisted Verma modules ?).

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- ▶ What about the second part of the conjecture ?

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- ▶ What about the second part of the conjecture ?

$$H_{x,A} \in \sum_{w \in W} \mathbb{Z}_{\geq 0}[v^{\pm 1}] C_w.$$

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- ▶ What about the second part of the conjecture ?

$$H_{x,A} \in \sum_{w \in W} \mathbb{Z}_{\geq 0}[v^{\pm 1}] C_w.$$

- ▶ This conjecture precisely says that images of Mikado braids in $\mathcal{H}(W)$ are positive in the basis $\{C_w\}_{w \in W}$.

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Problem: There is no object in \mathcal{B} categorifying $H_{x,A}$ in general!

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- ▶ Example: B_S categorifies $C'_S = v + H_S$.

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- ▶ Example: B_s categorifies $C'_s = v + H_s$. But B_s is indecomposable.

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- ▶ Example: B_s categorifies $C'_s = v + H_s$. But B_s is indecomposable. Hence H_s should correspond to a (strict) direct summand of an indecomposable bimodule!

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- ▶ Example: B_s categorifies $C'_s = v + H_s$. But B_s is indecomposable. Hence H_s should correspond to a (strict) direct summand of an indecomposable bimodule! **Solution:** replace Soergel bimodules by complexes of Soergel bimodules!

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- ▶ Example: B_s categorifies $C'_s = v + H_s$. But B_s is indecomposable. Hence H_s should correspond to a (strict) direct summand of an indecomposable bimodule! **Solution:** replace Soergel bimodules by complexes of Soergel bimodules!
- ▶ The H_s “should” be categorified by the complex

$$0 \rightarrow B_s \xrightarrow{\mu} R(1) \rightarrow 0$$

in a suitable category (μ is the multiplication).

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- ▶ Let $K^b(\mathcal{B})$ be the bounded homotopy category of \mathcal{B} .

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- ▶ Let $K^b(\mathcal{B})$ be the bounded homotopy category of \mathcal{B} . Its objects are bounded complexes of Soergel bimodules, and the morphisms between them are morphisms of complexes of graded bimodules up to homotopy.

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- ▶ Let $K^b(\mathcal{B})$ be the bounded homotopy category of \mathcal{B} . Its objects are bounded complexes of Soergel bimodules, and the morphisms between them are morphisms of complexes of graded bimodules up to homotopy. It is a triangulated category and as such, it has a Grothendieck group $\langle K^b(\mathcal{B}) \rangle_{\Delta}$.

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- ▶ Rouquier showed that the complexes $F_s := 0 \rightarrow B_s \rightarrow R(1) \rightarrow 0$, $s \in S$ (with B_s in cohom. degree zero) admit an inverse E_s for \otimes_R^{tot} in $K^b(\mathcal{B})$ and that they satisfy the braid relations of W .

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- ▶ Let $K^b(\mathcal{B})$ be the bounded homotopy category of \mathcal{B} . Its objects are bounded complexes of Soergel bimodules, and the morphisms between them are morphisms of complexes of graded bimodules up to homotopy. It is a triangulated category and as such, it has a Grothendieck group $\langle K^b(\mathcal{B}) \rangle_\Delta$. It is a general fact for an additive category \mathcal{C} that $\langle \mathcal{C} \rangle \cong \langle K^b(\mathcal{C}) \rangle_\Delta$ (as abelian groups). Here \otimes_R induces a total tensor product of complexes \otimes_R^{tot} compatible with this isomorphism. Hence $\langle K^b(\mathcal{B}) \rangle_\Delta \cong \langle \mathcal{B} \rangle$ (as \mathcal{A} -algebras).
- ▶ Rouquier showed that the complexes $F_s := 0 \rightarrow B_s \rightarrow R(1) \rightarrow 0$, $s \in S$ (with B_s in cohom. degree zero) admit an inverse E_s for \otimes_R^{tot} in $K^b(\mathcal{B})$ and that they satisfy the braid relations of W . In fact, viewed as functors on $K^b(\mathcal{B})$ via $F_s \otimes_R^{\text{tot}} -$, they provide a categorification of (a quotient of) $B(W)$.

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- ▶ In particular, we get complexes of Soergel bimodules categorifying every element $\beta \in B(W)$.

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- ▶ Every complex C in $K^b(\mathcal{B})$ admits a *minimal complex* C^{\min} , that is, with no contractible summand of the form $0 \rightarrow M \xrightarrow{\text{isom.}} M' \rightarrow 0$.

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- ▶ Every complex C in $K^b(\mathcal{B})$ admits a *minimal complex* C^{\min} , that is, with no contractible summand of the form $0 \rightarrow M \xrightarrow{\text{isom.}} M' \rightarrow 0$. This complex is unique up to isomorphism of complexes.

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- ▶ Every complex C in $K^b(\mathcal{B})$ admits a *minimal complex* C^{\min} , that is, with no contractible summand of the form $0 \rightarrow M \xrightarrow{\text{isom.}} M' \rightarrow 0$. This complex is unique up to isomorphism of complexes.

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- ▶ A key point in the proof of the theorem above is to show that $C_{x_A}^{\min}$ is *linear*, that is, that the shifts of an indecomposable summand coincides with the homological degree in which the summand sits.

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